

# Lec 1 (leftover)

Wednesday, 10 May 2023 03:02

Plan: finish overview of topics

## Geometric Complexity Theory (GCT)

"String theory of Computer Science"

$V = (\mathbb{C}^{m^2})^*$ ,  $GL(V)$  - group of automorphisms acts on

$Sym^m(V)$  - degree  $m$  homogeneous polynomials in  $m^2$  vars.

$$\underbrace{L \cdot P(x)}_{GL(V)} \stackrel{\in Sym^m(V)}{=} P(L^T x) \quad \left( L_1 \cdot (L_2 P) = (L_1 L_2) \cdot P \right)$$

Observe  $\det_m \in Sym^m(V)$

Let  $n \leq m$ .  $Per_n \notin Sym^m(V)$ . Define padded permanent

$$Per_{m,n}^* = \alpha_{m,m}^{m-n} Per_n \in Sym^m(V)$$

Conjecture [MS] If  $m = 2^{n^{O(1)}}$ , then for  $n \geq n_0$  (sufficiently large)

$$Per_{m,n}^* \notin \overline{GL(V) \cdot \det_m}$$

Thm [MS]  $dc(Per_{m,n}) \leq m \implies Per_{m,n}^* \in \overline{GL(V) \cdot \det_m}$

Need orbit closures becoz  $GL(V) \cdot \det_m$  contains irred polynomials

Thm (a) if  $P, Q$  are permutation matrices s.t.  $Per(A) Per(B) = 1$ ,  
and  $A, B$  are diagonal matrices, then

$$f(x) = f(PxQ) = f(AxB) \implies f(x) \text{ is a } \mathbb{C}\text{-multiple of the permanent}$$

(b) if  $A, B$  are such that  $\det(A) \det(B) = 1$ , then

$$f(x) = f(AxB) \implies f(x) \text{ is a } \mathbb{C}\text{-multiple of the determinant}$$

$$R_{\det} = \mathbb{C}[\overline{GL(V).det_m}] , R_{per} := \mathbb{C}[\overline{GL(V).Per_{m,n}^*}]$$

$GL(V)$  acts on both rings

$$A \cdot q(P(x)) := q(P(A^T x))$$

Thus, by the above action, we get two representations of  $GL(V)$

$$S_{\det} \text{ \& } S_{per}$$

Let  $\lambda_{per}(P)$  denote the multiplicity of the irrep representation  $P$  in the isotypic decomposition of  $S_{per}$ . Similarly  $\lambda_{\det}(P) \dots$

Then Suppose there exists an irrep  $P$  s.t.  $\lambda_{per}(P) > \lambda_{\det}(P)$ . Then

$$Per_{m,n}^* \notin \overline{GL(V).det_m}$$

Hope: we algorithms to calculate multiplicities of irreps.

Initial conjecture:  $\exists P$  irrep  $\lambda_{\det}(P) = 0$   $\lambda_{per}(P)$  very large  
 "no-occurrence obstruction"  $\times$

## ULRICH COMPLEXITY

Defn  $uc(f)$  is the smallest  $r$  s.t there exists a matrix  $M$  of linear forms with ①  $\det M = f^r$  and

$$\text{② } \exists N \text{ s.t } M \cdot N = fI$$

Thm  $VP \neq VNP \Rightarrow uc(per_n) \geq 2^{n-2}$

$$dc\left(\sum_{i=1}^c x_i y_i\right) \leq c+1, \quad uc\left(\sum_{i=1}^c x_i y_i\right) = 2^{\lceil c/2 \rceil - 2}$$

== Connection to Ulrich Sheaves/Modules ==

$f \in \mathbb{C}[x_0, \dots, x_n]$  homogeneous. Standard grading  $\deg x_i = 1$ . Let  $S$  be the graded ring.  $R := S/\langle f \rangle$ . Let  $F$  be a finitely generated

the graded ring.  $R := S/\langle f \rangle$ . Let  $t$  be a finitely generated  $R$ -module.  $F$  is an Ulrich module if  $F$  has a free resolution of the form

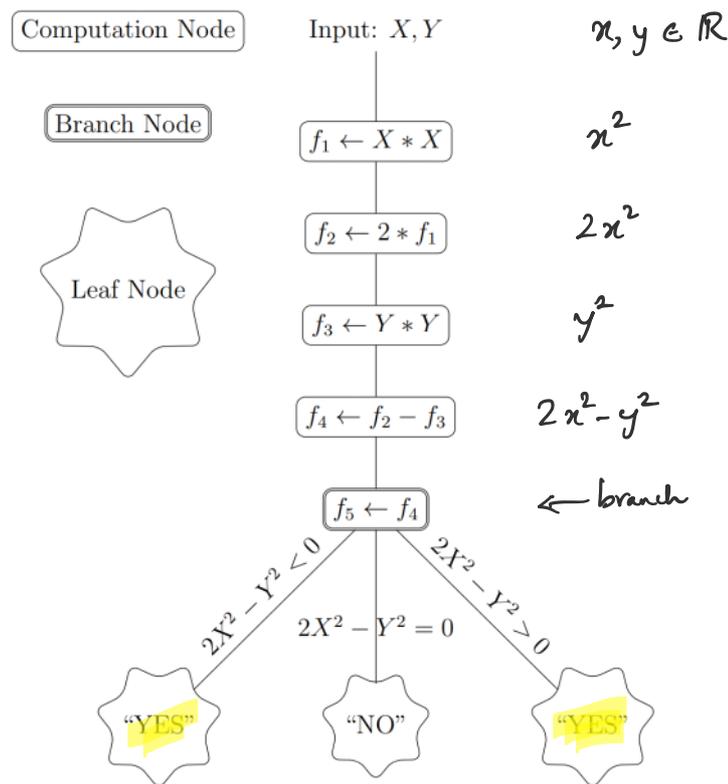
$$0 \rightarrow S^m(-1) \xrightarrow{M} S^n \rightarrow F \rightarrow 0$$

$M$ -matrix of linear forms. Then, then out

$$uc(f) = \inf \{ \text{rank } F \mid F \text{ is Ulrich module on } R \}$$

## MISC TOPICS

— Algebraic Computation tree — Model of computation that represents the computational steps that a Turing machine would execute



tests for membership in the semi-algebraic set  $2x^2 - y^2 \neq 0$

$$x^3 + 3x^2 + 3x + 1 = (x+1)^3$$

Q: what is the best way to compute?  $\Leftrightarrow$  what is the least height of ACT for the problem?

Thm [Gabriele-Vorobjov] Consider the problem of testing membership in a semi-algebraic set  $S \subseteq \mathbb{R}^m$ .  $\exists$  constants  $c_1, c_2$

Thm [Gabrielov-Vorobjov]  $\exists$  constants  $c_1, c_2$   
 a semi-algebraic set  $S \subseteq \mathbb{R}^n$ .  
 height of ACT for this problem  $\geq \frac{c_1 b_m(S)}{m+1} - c_2^n$

$m^{\text{th}}$  Singular Betti number of  $S$ .

- Complexity theory of Constructible sheaves [Basu]
- Categorical Complexity [Basu-Isik]  
 define complexity of Categories & functors  
 recovers classical notions in complexity theory.

"Rising Sea" approach in Complexity theory

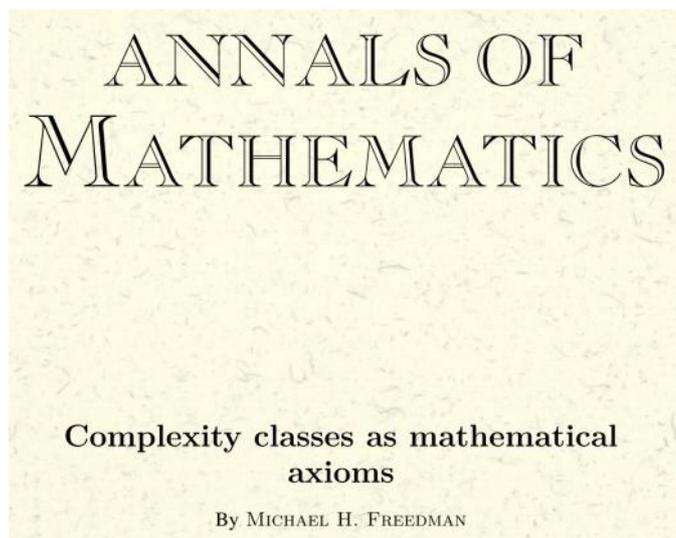
## The Rising Sea

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The "rising sea" is a metaphor due to [Alexander Grothendieck](#) (see the quote [below](#)), meaning to illuminate how the development of [general abstract](#) theory eventually brings with it effortless solutions to [concrete particular](#) problems, much like a hard nut may be cracked not immediately by sheer punctual force, but eventually by gently immersing it into a whole body of water.

- Freedman



Assumes stronger than  $P \neq NP \implies$  Knots with certain properties exist

# Lee 2 (Complexity of Matrix Mult.)

Wednesday, 10 May 2023 03:03

## Complexity of M.M.

$$A_{n \times m} \cdot B_{m \times l} = C_{n \times l}$$

$$c_{ij} = \sum_{k=1}^m a_{i,k} b_{k,j}$$

Naive method  $n$  mults and  $n-1$  additions per dot product.  
total of  $O(n^3)$  operations.

## Strassen

① You can do  $2 \times 2$  multiplication using 7 products & 18 sums, instead of 8 products & 4 sums.

② Recurse to  $n \times n$  mat. mult. using  $O(n^{\log_2 7})$  operations

It is a fact (Prop 15.1 in Burgissee et. al) that total complexity is governed by the no. of multiplications.

Thus we can look at TENSOR RANK to count number of multiplications

$$w := \inf \{ h \in \mathbb{R} \mid n \times n \text{ mat. mult. can be done using } O(n^h) \text{ operations} \}$$

$M_{\langle k, m, n \rangle} : \mathbb{C}^{k \times m} \times \mathbb{C}^{m \times n} \rightarrow \mathbb{C}^{k \times n}$  is the mat. mult. map.

$M_{\langle k, m, n \rangle}$  is bilinear, so it is a tensor in  $(\mathbb{C}^{k \times m})^* \otimes (\mathbb{C}^{m \times n})^* \otimes \mathbb{C}^{k \times n}$

## Thm [Strassen]

$$w = \inf \{ T \in \mathbb{R} \mid \text{rank}(M_{\langle n, n, n \rangle}) = O(n^T) \}$$

N.B. ① In principle  $w$  can depend on characteristic

② (Conjecture)  $w = 2$

⌋ . . . . . ⌋ it is limit cannot be achieved

② (Conjecture)  $w = 2$

③  $w$  is defined to be a limit pt. Limit cannot be achieved

Plan ① Explain Strassen's  $2 \times 2$  alg "symmetrically"

② Use u.b. on  $R(M_{\langle k,m,n \rangle})$  for any specific  $k,m,n$  to turn it into u.b. on  $w$ .

$$\text{Strassen } R(M_{\langle 2,2,2 \rangle}) \leq 7 \quad 2.81$$

$$\text{Pan } R(M_{\langle 70,70,70 \rangle}) \leq 143240 \quad 2.79$$

③ Border rank, and use u.b. on border rank to u.b. of  $w$ .  $\underline{R}(M_{\langle k,m,n \rangle})$

④ Schonhage  $\gamma$ -theorem upperbounds on  $R(\oplus M_{\langle * \rangle})$  to get u.b. on  $w$ .

⑤ Copper-Smith-Winograd u.b. on  $R(\oplus M_{\langle * \rangle}^{\boxtimes k})$  to get u.b. on  $w$

⑥ Cohn-Umans group theoretic approach.

Conceptual Strassen's alg

$$M_{\langle n \rangle} : M_n \times M_n \rightarrow M_n$$

$$[n] = \{1, \dots, n\}$$

$M_{\langle n \rangle}$  as element of  $M_n^* \otimes M_n^* \otimes M_n^*$ , i.e.

$$M_{\langle n \rangle} = \sum_{i,j,k \in [n]} E_{ij}^* \otimes E_{jk}^* \otimes E_{ki}^*$$

Observe that given  $A \otimes B \otimes C \in M_n \otimes M_n \otimes M_n$

$$\langle M_{\langle n \rangle}, A \otimes B \otimes C \rangle = \text{trace}(ABC)$$

$$(AB)_{i,i} = \langle M_{\langle n \rangle}, A \otimes B \otimes E_{j,i} \rangle$$

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Notice [Symmetry]  $X, Y, Z \in GL(n)$

$$\langle M_{\langle n \rangle}, (Z^{-1}AX) \otimes (X^{-1}BY) \otimes (Y^{-1}CZ) \rangle = \text{trace}(ABC) \quad (\otimes)$$

fact up to a constant,  $M_{\langle n \rangle}$  is the only operator that has  $(\otimes)$  symmetry.

Defn A set  $S$  of  $n$ -dimensional vectors is a unitary 2-design if

$$\sum_{v \in S} v = 0 \quad \text{and} \quad \frac{1}{|S|} \sum_{v \in S} |v\rangle\langle v| = \frac{1}{n} I$$

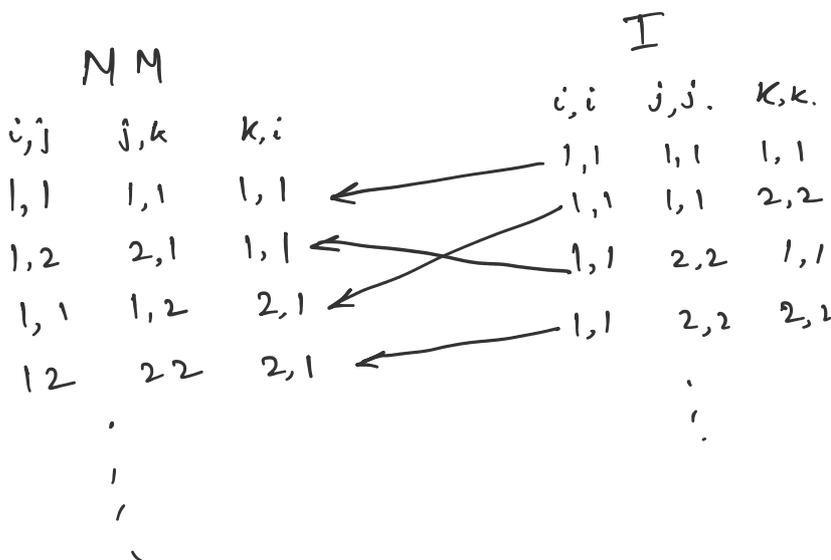
Then Let  $S = \{w_1, \dots, w_s\}$  be a unitary 2-design. Then tensor rank of  $M_{\langle n \rangle}$  is at most  $s(s-1)(s-2) + 1$

$$A^{\otimes 3} \quad A \otimes A \otimes I$$

(Lazy) Proof By defn

$$\textcircled{1} - \frac{s^3}{n^3} I^{\otimes 3} = \sum_{i,j,k \in [n]} \underbrace{\frac{s}{n} I}_{s/n I} |w_i\rangle\langle w_i| \otimes |w_j\rangle\langle w_j| \otimes |w_k\rangle\langle w_k|$$

$$\textcircled{2} - \frac{s^3}{n^3} M_{\langle n \rangle} = \sum_{i,j,k \in [n]} |w_i\rangle\langle w_j| \otimes |w_j\rangle\langle w_k| \otimes |w_k\rangle\langle w_i|$$



$$\textcircled{2} - \textcircled{1} \quad \frac{s^3}{\lambda^3} \left( M_{\langle n \rangle} - I^{\otimes 3} \right)$$

$$\rightarrow = \sum_{\substack{i,j,k \\ \text{distinct}}} |w_i\rangle \langle w_j - w_i| \otimes |w_j\rangle \langle w_k - w_j| \otimes |w_k\rangle \langle w_i - w_k|$$

$$M_{\langle n \rangle} = I^{\otimes 3} + \leq (s-1)(s-2)$$

$$R(M_{\langle n \rangle}) \leq s(s-1)(s-2)$$



In  $n=2$ , the three corners of the equilateral triangle give a unitary 2-design

$$S = \left\{ (1,0), \left(-\frac{1}{2}, \frac{\sqrt{3}}{2}\right), \left(-\frac{1}{2}, -\frac{\sqrt{3}}{2}\right) \right\}$$

$$|S|=3 \Rightarrow R(M_{\langle 2 \rangle}) \leq 7$$



Prop If  $V$  is a non-trivial irrep of  $G$  (finite group), then  $v \in V$  with  $|v|^2 = 1$ , the orbit of  $v$  is a unitary 2-design

Proof Schur's lemma

Machiney to get u.b. of  $w$  using u.b. of  $R(M_{\langle k,m,n \rangle})$

Defn [Permutation of a tensor] Suppose  $t = \sum_{i=1}^n t_j$ ,  $t_j = a_{j,1} \otimes a_{j,2} \otimes a_{j,3}$

for  $\pi \in S_3$  define  $\pi(t) = \sum_{i=1}^n \pi(t_j)$ , where  $\pi(t_j) = a_{j,\pi^{-1}(1)} \otimes a_{j,\pi^{-1}(2)} \otimes a_{j,\pi^{-1}(3)}$

Check that  $\pi(t)$  is well-defined

Lemma  $R(t) = R(\pi(t))$

Proof easy

Proof easy ☒

Defn Let  $t \in A \otimes B \otimes C$ . Let  $f_1: A \rightarrow A'$ ,  $f_2: B \rightarrow B'$ ,  $f_3: C \rightarrow C'$  be homomorphisms.  $t = \sum_{i=1}^n a_{i,1} \otimes a_{i,2} \otimes a_{i,3}$

[RESTRICTION]

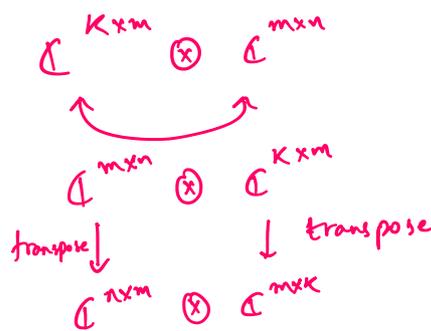
$$(f_1 \otimes f_2 \otimes f_3) \cdot t = \sum_{j=1}^n f_1(a_{j,1}) \otimes f_2(a_{j,2}) \otimes f_3(a_{j,3}) \in A' \otimes B' \otimes C'$$

Lemma  $R((f_1 \otimes f_2 \otimes f_3) \cdot t) \leq R(t)$ , with equality if  $f_i$ 's are isomorphisms

Proof easy ☒

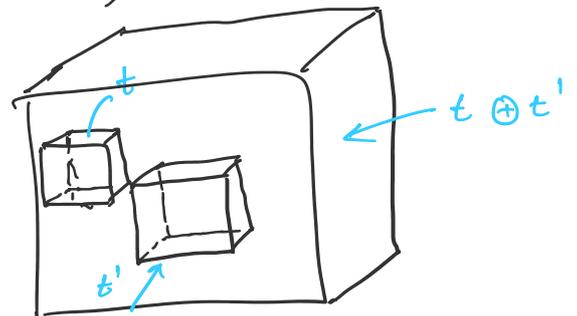
Lemma Take any  $\sigma \in S_3$   $R(M_{\sigma \langle k, m, n \rangle}) = R(M_{\langle k, m, n \rangle})$

Proof



☒

Defn [Direct sum of tensors]  $t \in \mathbb{F}^k \otimes \mathbb{F}^m \otimes \mathbb{F}^n$ ,  $t' \in \mathbb{F}^{k'} \otimes \mathbb{F}^{m'} \otimes \mathbb{F}^{n'}$   
 $t \oplus t' \in \mathbb{F}^{k+k'} \otimes \mathbb{F}^{m+m'} \otimes \mathbb{F}^{n+n'}$



Lemma  $R(t \oplus t') \leq R(t) + R(t')$