

# Lecture 6

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Last Lecture ① Dimension of Gauss image of  $\mathbb{P}^{m^2-2}$  hypersurface is full, i.e.  $m^2-2$

② Need to show that dim. of Gauss image of det hypersurface is  $2n-2$ .

③ Degeneracy is preserved under substitution, so  $m^2-2 \leq 2n-2$

## Zeros (det<sub>n</sub>):

$$\left( SL_n(\mathbb{C}) \times SL_n(\mathbb{C}) \right) / \mu_n \times \mathbb{Z}_2 \leftarrow \text{stabilizer of det } G_{\text{det}_n}$$

Kernel of the product map

$$\mathbb{Z}_n \times \mathbb{Z}_n \rightarrow \mathbb{Z}_n$$

$$\det(A \times B) = \det A \det B \det X, \quad \det(C^T) = \det X.$$

★ Any pt. on  $\mathbb{P}^n$  hypersurface in the  $G_{\text{det}_n}$  orbit of

$$\textcircled{1} P_r = \begin{pmatrix} I_r & 0 \\ 0 & 0 \end{pmatrix} \quad r \leq n-1$$

② The hypersurface is singular at  $G_{\text{det}_n} \cdot P_r$  where  $r < n-1$

## Recall

$$\omega_1 = \text{Seg} ( \mathbb{P}A_1 \times \dots \times \mathbb{P}A_n )$$

$$:= \mathbb{P} \left\{ T \in A_1 \otimes \dots \otimes A_n \mid R(T) = 1 \right\} \subseteq \mathbb{P}(A_1 \otimes \dots \otimes A_n)$$

Prop Recall  $\omega_r^0(\text{Seg}(\mathbb{P}^{n-1} \times \mathbb{P}^{n-1}))$  is the space of matrices of rank  $r$

1/2 top Recall  $\cong_n (\text{Seg}(\mathbb{P}^{n-1} \times \mathbb{P}^{n-1}))$  is the variety

$$\textcircled{1} T_M^{\wedge} \cong_n^0 (\text{Seg}(\mathbb{P}^{n-1} \times \mathbb{P}^{n-1})) = \{X \in \text{Mat}_{n \times n} \mid X \text{ Ker}(M) \subseteq \text{Im}(M)\}$$

$$\textcircled{2} N_m^* \cong_n^0 (\text{Seg}(\mathbb{P}^{n-1} \times \mathbb{P}^{n-1})) = \text{Ker } M \otimes (\text{Image } M)^{\perp} \cong \text{Ker } M \otimes \text{Ker } M^T$$

Lemma  $\dim \text{Zeros}(\det_n)^{\vee} = 2n-2$

Proof Smooth pts are in the  $G_{\det_n}$  orbit of  $P_{n-1}$

$$P_{n-1} = \begin{pmatrix} I_{n-1} & 0 \\ 0 & 0 \end{pmatrix}$$

Also  $N_{P_{n-1}}^* \text{Zeros}(\det_n) = \begin{pmatrix} 0 \\ \vdots \\ 1 \\ * \end{pmatrix} \otimes (0 \ 0 \ \dots \ *)$

$\swarrow \text{Ker } P_{n-1} \quad \searrow \text{Ker } P_{n-1}^T$

$$= \begin{bmatrix} 0 & \dots & 0 \\ \vdots & \textcircled{0} & \vdots \\ 0 & \dots & * \end{bmatrix} \leftarrow \text{rank 1 matrix}$$

Thus tangent hyperplanes to  $Z(\det_n)$  are parameterized by rank 1 matrices  $\cong_1^0 (\text{Seg}(\mathbb{P}^{n-1} \times \mathbb{P}^{n-1}))$ , which has dimension  $2n-2$



Thm [Mignon-Ressayre]  $\textcircled{1}$  rank of Hessian of  $\det_n$  at smooth pts is

$$2n-2+2 = 2n$$

$\textcircled{2}$  Rank of Hessian of  $\text{perm}_m$  at same pt is  $m^2$

$$\Rightarrow m^2 \leq 2n \Rightarrow n \geq \frac{m^2}{2}$$

Gorenst's u.b. on  $d_c(\text{perm}_n)$

Proof 1 [Combinatorial]  $\textcircled{1}$  Construct a digraph whose vertices are subsets of

Proof 1 [Combinatorial] ① Construct a digraph whose vertices are subsets of  $[m] := \{1, \dots, m\}$ , but identify  $\emptyset \in [m]$ . There are thus a total of  $2^m - 1$  vertices.

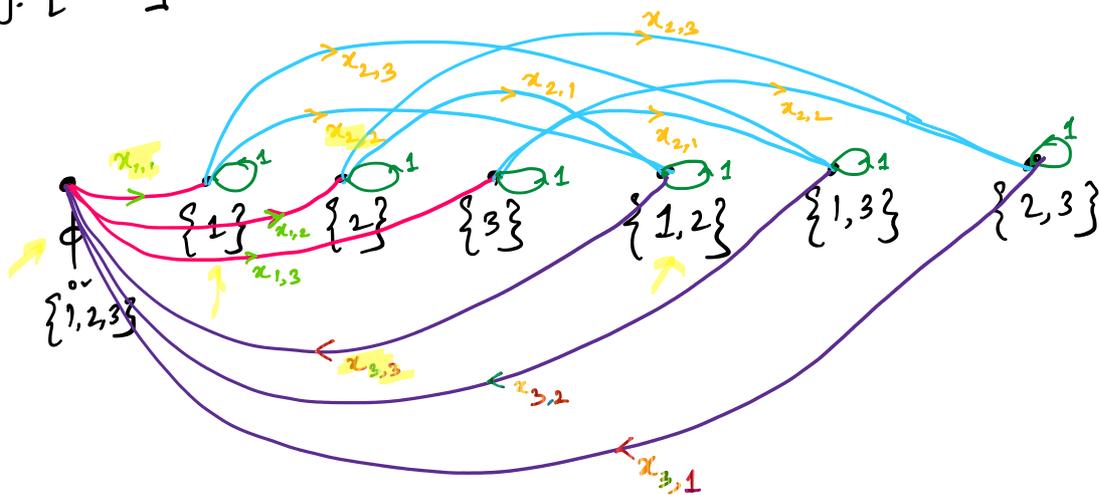
② Place a directed edge from  $S$  to  $T$  with weight  $x_{i,j}$  if  $|S| = i-1, j \notin S, T = S \cup \{j\}$

(a) Node  $\emptyset$  will thus have outgoing edges  $\overset{\text{of wt.}}{\sim} x_{1,j}$  to all nodes  $\{j\} \ j \in [m]$

(b) Since  $\emptyset \in [m]$  are identified,  $\emptyset$  has incoming edges of wt.  $x_{m,j}$  from  $[m] \setminus \{j\}$ .

③ All nodes except  $\emptyset \in [m]$  get a self loop of weight 1.

e.g.  $[m=3]$



Observe Vertex cycle covers are in bijective correspondence with permutations in  $S_m$

This is because cycle covers have a specific structure in the above graph:-

① Any non-self loop has to pass through  $\emptyset$ . This means a vertex cover

has exactly 1 non-self loop and  $2^m - 1 - m$  self loops.

② non-self loop corresponds to any order of  $\{1, \dots, m\}$ .

③ Thus vertex cycle covers  $\iff$  elems of  $S_m$

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Perm of the adj matrix of  $A$  is going to be  $\text{perm}(X)$ .

The cycle covers all have the same sign, so

$$\text{perm}(X) = \text{perm}(A) = \pm \det(A)$$

$$\text{size of } A = 2^m - 1$$



Defn  $R$ -comm ring.  $E$  be a free  $R$ -module of rank  $r$ . Given an  $R$ -linear map  $s: E \rightarrow R$ , the Koszul complex associated to  $s$ .

$$K_\bullet(s): 0 \rightarrow \bigwedge^r E \xrightarrow{d_r} \bigwedge^{r-1} E \rightarrow \dots \rightarrow \bigwedge^1 E \xrightarrow{d_1} R \rightarrow 0$$

$$d_n(e_1 \wedge \dots \wedge e_k) = \sum_{i=1}^k (-1)^{i+1} s(e_i) e_1 \wedge \dots \wedge \hat{e}_i \wedge \dots \wedge e_k$$

$\hat{e}_i \leftarrow$  means you are omitting  $e_i$

Definition implies

①  $d_k \circ d_{k+1} = 0$ , thus  $K_\bullet(s)$  is a chain complex

② If  $s: E = R^n \xrightarrow{[x_1, \dots, x_n]} R$ , then  $K_\bullet(s)$  is a free resolution of  $R / \langle x_1, \dots, x_n \rangle$

↑  
free module on  $R$   
generated by a regular sequence  
 $x_1, \dots, x_n$

Proof 2 [Algebraic] Let  $\phi_i$  denote the matrix of  $d_i$  of the Koszul complex of  $(x_{i,1}, \dots, x_{i,n})$ . Let  $\tilde{\phi}_i$  be the matrix  $\phi_i$  but with  $-1$  signs removed

Let  $\psi$  be the direct sum of  $\tilde{\phi}_i$ , and define

$$M_n = \psi + J_n$$

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$n \times n$  nilpotent matrix

Jordan matrix with 1's on the subdiagonal



Verify that the det of  $M$  is the perm  $(x)$  up to a  $\pm$  sign



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i1 : R = QQ[x_11,x_12,x_13,x_21,x_22,x_23,x_31,x_32,x_33]
o1 = R
o1 : PolynomialRing
→ i2 : C = koszul matrix{{x_11,x_12,x_13}}
      1      3      3      1
o2 = R <-- R <-- R <-- R
      0      1      2      3
o2 : ChainComplex
i3 : C.dd
→ o3 = 0 : R <----- 3 : 1
      | x_11 x_12 x_13 |
      3      3
1 : R <----- R : 2
      {1} | x_12 -x_13 0 |
      {1} | x_11 0 x_13 |
      {1} | 0 x_11 x_12 |
      3      1
2 : R <----- R : 3
      {2} | x_13 |
      {2} | x_12 |
      {2} | x_11 |
  
```

Stabilizer of the permanent:  $\rightarrow$

$$G_{\text{perm}} \left[ \left( T(SL_m(\mathbb{C})) \times T(SL_m(\mathbb{C})) \right) \times (S_m \times S_m) \right] / \mu_n$$

↑  
minimal tors

(\*)  $\tilde{A}_{\text{grand}} : \mathbb{C}^{m^2} \rightarrow \mathbb{C}^{n^2} \quad (n = 2^m - 1)$

satisfies a nice equivariance property:

There is an injective homomorphism  $\psi : T(SL_m(\mathbb{C})) \rightarrow G_{\text{detn}}$  s.t.

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$$\tilde{A}_{\text{Grenet}}(g, Y) = \psi(g) \left( \tilde{A}_{\text{Grenet}}(Y) \right)$$

$\uparrow$   
 $T(\text{SL}_m(\mathbb{C}))$

\* If you impose the restriction that your embedding has the above equivariance property, then Grenet's embedding is optimal.

$$\text{edc}(\text{perm}_m) = 2^m - 1$$

We have an exponential separation b/w  $\text{perm} \geq \text{det}$  in a restricted model of computation.

\* If we can show an equivariant expression for  $\text{perm}_m$  of size  $\text{edc}(\text{perm}_m)^c$  then  $\text{VP}_{\mathbb{C}} \neq \text{VNP}_{\mathbb{C}}$

## Restricted models of computation

Defn [Depth] the no. of edges in the longest path from an i/p node to its o/p

Defn [fanin] no. of edges coming into the gate

## Waring Rank $\Sigma \wedge \Sigma$ -circuits

Defn [Waring Rank]  $P \in \mathbb{C}[\bar{x}]_d$ . The smallest  $r$  s.t. we can write

$$P = l_1^d + \dots + l_r^d \quad l_i \rightarrow \text{linear forms}$$

Defn [ $\Sigma \wedge \Sigma$ -circuit] Consists of three layers: first addn gates.

second - powering gate  $l \mapsto l^d$ ,  
 third is just a single addn gate.

Prop  $P \in \text{Sym}^d \mathbb{C}^n$ , Waring rank  $(P) = r$

①  $\Rightarrow P$  admits a  $\sum \lambda^d \varepsilon$ -circuit of size  $r(n+2)$

②  $dc(P) \leq d r + 1$

Waring rank can be studied by looking at secant varieties of the Veronese variety.

### Shallow circuits for $VP \neq VNP$

depth 3, depth 4  $\geq$  depth 5

$\downarrow$   $\sum \pi \varepsilon$      $\downarrow$   $\sum \pi \varepsilon \pi$      $\downarrow$   $\sum \lambda^\alpha \varepsilon \lambda^\beta \varepsilon$

Defn A circuit is homogeneous if for each + gate, inputs have the same degree

Thm  $N = N(d)$  be a poly in  $d$ . Let  $(P_d) \in \text{Sym}^d \mathbb{C}^N$  be a sequence of polys that can be computed by circuits of size  $S = S(d)$  (poly in  $d$ ). Let  $\Theta(d) = 2^{O(\sqrt{d \log d \log N})}$ . Then  $(P_d)$  is computable

(a) by a homogeneous  $\sum \pi \varepsilon \pi$  circuit of size  $\Theta(d)$

(b) by a  $\sum \pi \varepsilon$  circuit of size  $\Theta(d)$

(c) by a homogeneous  $\sum \lambda^{O(\sqrt{d})} \varepsilon \lambda^{O(\sqrt{d})} \varepsilon$  circuit of size  $\Theta(d)$

Cor if  $(P_{2^m})$  is not computable by any of the above circuits of size  $2^{o(\sqrt{m \log^2 m})}$  then  $VP \neq VNP$ .

Prop [Geom interpretation of above]

①  $d = N^{O(1)}$ ,  $P \in \text{Sym}^d \mathbb{C}^N$  has a circuit of size  $S$ .

then  $[e^{n-d} P]$  belongs to the  $r$ th secant variety of the Chow variety of degree  $n$  in  $\mathbb{C}^{N+1}$  with  $rn \sim S \sqrt{d}$

Chow variety of degree  $n$  in  $\mathbb{C}^{n+1}$  with  $n$  ---

② If  $[L^{n-m} \text{ perm}_m] \notin$   $r^{\text{th}}$  secant variety of the degree  $n$  Chow variety in  $\mathbb{C}^{m+1}$ , then  $VP \neq VNP$ .

Thm [Gupta et al. "Method of shifted partial derivatives"]  $\leftarrow$

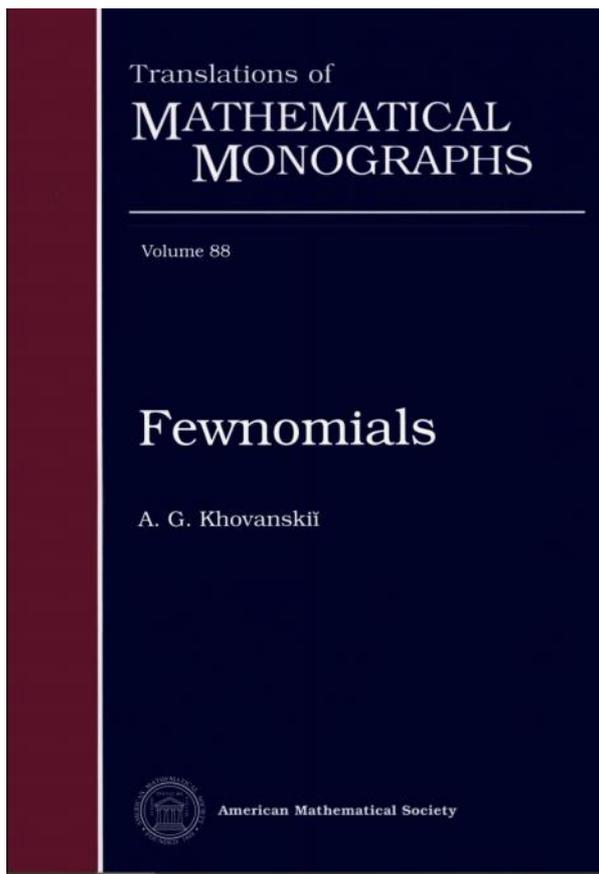
Any  $\Sigma \Pi \Omega(\Gamma_m) \Sigma \Pi \Omega(\Gamma_m)$  circuit that computes  $\text{perm}_m$  must have top fanin at least  $2 \Omega(\Gamma_m)$

Came very close to  $VP \neq VNP$

Fewnomials  $\geq$  Real-Tau conjecture

Thm [Descartes' Rule of signs]  $P \in \mathbb{R}[x]$  of any arbit. degree, but only  $t$  monomials, it has  $\sim 2t$  roots (counted with multiplicities)

④ Fewnomials



Conjecture [Real-Tau conjecture]

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$\sum_{i=1}^k \prod_{j=1}^m f_{i,j}(x)$ , where  $f_{i,j}$  are  $t$ -space. No. of zeros is  $\text{Poly}(k, t, 2^m)$

Then Real-Tau Conj  $\Rightarrow VP_{\mathbb{C}} \neq VNP_{\mathbb{C}}$

## Mathematical problems for the next century

Steve Smale 

[The Mathematical Intelligencer](#) 20, 7-15 (1998) | [Cite this article](#)

4th	Shub-Smale tau-conjecture on the integer zeros of a polynomial of one variable <sup>[6][7]</sup>	Unresolved.
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Then [Briquet-Burgisser] If  $f_{i,j}$  are chosen as follows:

- ① fix support of  $f_{i,j}$  of  $t$ .
- ② Let the coeff of  $f_{i,j}$  be independent  $\mathcal{N}(0, 1)$ .

Then  $\mathbb{E}[\text{real zeros}] = O(k m^2 t)$

Real-Tau conj is true with prob  $\sim 1$

Then [Koiran et al.] ① It is true that

$\sum_{i=1}^k \prod_{j=1}^m f_{i,j}^{x_{i,j}}$  have  $O(t^{O(k^2 m)})$  roots (without counting multiplicity)

- ② Restricted classes of depth 4-circuits (poly sizes) cannot compute the permanent.

e.g.  $f_{i,j}$   $t$ -space  $f_{i,j} + 1 \rightarrow$  Descartes gives  $\sim t^2$  (improve this!!)

MAIN TECHNICAL TOOL (WRONSKIAN)

Defn Given  $f_1 \dots f_k$ , define

Defn Given  $f_1, \dots, f_k$ , define

$$W(f_1, \dots, f_k) = \det \left[ \left( f_j^{(i-1)} \right)_{i,j \in [k]} \right]$$

Prop If  $f_1, \dots, f_k$  are analytic functions, then:

$$\{f_i\} \text{ are linearly indep} \iff W(f_1, \dots, f_k) \neq 0.$$

Thm [Voorhoeve & Van der Poeten]  $f_1, \dots, f_k$  are real analytic functions over an interval  $I$ . Then

$$N(f_1 + \dots + f_k) \leq k-1 + \sum_{j=1}^{k-2} N(W(f_1, \dots, f_j))$$

↑  
zeros with multiplicity.

$$+ \sum_{j=1}^k N(W(f_1, \dots, f_j))$$

Thm [Koiran et al.] Same bound holds on zeros of polynomials without multiplicity if  $f_i$ 's are linearly indep. on  $I$ .

Main thm uses this tool +  $W(f_1^\alpha, \dots, f_k^\alpha)$